

## Investment Registration Information

Owner Names(s): \_\_\_\_\_

Joint Owner Names(s): \_\_\_\_\_

Social Security or Tax ID: \_\_\_\_\_

Social Security or Tax ID: \_\_\_\_\_

\_\_\_\_\_  
Computershare Account Number (if applicable)

I (We) authorize information to be applied or changed under the above-referenced SSN(s) for individual registration and registration by joint tenants with rights of survivorship. Requests are applicable to registered stockholders only

## Designation of Beneficiaries

Beneficiary No. 1:

Primary  Contingent

If the beneficiary is an entity complete the following:

Name: \_\_\_\_\_

Entity Name: \_\_\_\_\_

DOB: \_\_\_\_\_

Taxable ID: \_\_\_\_\_

Social Security: \_\_\_\_\_  
or Tax ID

Trustee(s): \_\_\_\_\_

Percentage of Shares:

Percentage of Shares:

All  Partial \_\_\_\_\_%

All  Partial \_\_\_\_\_%

\_\_\_\_\_  
Custodian/Guardian Name

(A custodian must be listed if beneficiary is a minor)

Beneficiary No. 2:

Primary  Contingent

If the beneficiary is an entity complete the following:

Name: \_\_\_\_\_

Entity Name: \_\_\_\_\_

DOB: \_\_\_\_\_

Taxable ID: \_\_\_\_\_

Social Security: \_\_\_\_\_  
or Tax ID

Trustee(s): \_\_\_\_\_

Percentage of Shares:

Percentage of Shares:

All  Partial \_\_\_\_\_%

All  Partial \_\_\_\_\_%

\_\_\_\_\_  
Custodian/Guardian Name

(A custodian must be listed if beneficiary is a minor)



Eagle Point Credit Company Inc. Convertible Preferred Stock
"Beneficiary Designation Form/Transfer on Death Form"

Beneficiary No. 3:

Primary Contingent

If the beneficiary is an entity complete the following:

Name:

Entity Name:

DOB:

Taxable ID:

Social Security:
or Tax ID

Trustee(s):

Percentage of Shares:

Percentage of Shares:

All Partial %

All Partial %

Custodian/Guardian Name

(A custodian must be listed if beneficiary is a minor)

Beneficiary No. 4:

Primary Contingent

If the beneficiary is an entity complete the following:

Name:

Entity Name:

DOB:

Taxable ID:

Social Security:
or Tax ID

Trustee(s):

Percentage of Shares:

Percentage of Shares:

All Partial %

All Partial %

Custodian/Guardian Name

(A custodian must be listed if beneficiary is a minor)

Signatures must be guaranteed by a bank, savings association, credit union, member firm of a domestic stock exchange, or the Financial Industry Regulatory Authority, which is an eligible guarantor institution. The guarantee should be in the form of a stamp or a typewritten or handwritten guarantee, accompanied by a raised corporate seal. A medallion signature guarantee is an acceptable alternative to a signature guarantee. A contingent beneficiary is entitled to receive assets only if no primary beneficiary survives you.

Owner Signature

Date

Joint-Owner Signature

Date

Medallion Signature Guarantee

Medallion Signature Guarantee

\* A contingent beneficiary receives assets only if no primary beneficiary survives you.

## Spousal Waiver

This provision is applicable in community property states. It must be signed if the investor is married and has established this account either individually or jointly with a non-spousal joint application. The Company is not obligated to ascertain your marital status or whether your investment is separate or community property.

By signing below, I hereby give my consent to the terms and conditions outlined in this Transfer on Death form/Beneficiary Designation Form.

\_\_\_\_\_  
Signature of Owner's Spouse

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature of Joint Owner's Spouse

\_\_\_\_\_  
Date

## Return To

**Via Ground Mail Delivery:**

*(Address)*

**Via Overnight Delivery:**

*(Address)*

Telephone: 203-340-8560

Email: [ECCPref@eaglepointsecurities.com](mailto:ECCPref@eaglepointsecurities.com)